

# **Exhibit D**

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Enclosure

**IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF TEXAS  
MARSHALL DIVISION**

BILL HENDRICKS and  
AUBREY B. STACY

Plaintiffs,

v.

UBS FINANCIAL SERVICES, INC.,

Defendant.

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Civil Action No. 2:12-CV-00606

**PLAINTIFF BILL HENDRICKS' PRIVILEGE LOG**

The items listed below have been withheld from production by Plaintiff Bill Hendricks pursuant to a claim of privilege. Plaintiff reserves the right to amend or supplement this privilege log at a later time.

Date	Author	Recipient	Type	Subject	Privilege	Bates No.
3/22/2013	Bill Hendricks	Tara Mills	e-mail	Branch Manager Class Action Certification	attorney – client work product	Bill Hendricks 00089
2/05/2013	Brian Bro	Bill Hendricks	e-mail	Branch Manager Class Action Certification	attorney – client work product	Bill Hendricks 00090
2/05/2013	Bill Hendricks	Brian Bro	e-mail	Branch Manager Class Action Certification	attorney – client work product	Bill Hendricks 00090
2/5/2013	Brian Bro	Bill Hendricks	e-mail	Branch Manager Class Action Certification	attorney – client work product	Bill Hendricks 00090 – 00091

<b>Date</b>	<b>Author</b>	<b>Recipient</b>	<b>Type</b>	<b>Subject</b>	<b>Privilege</b>	<b>Bates No.</b>
3/21/2013	Bill Hendricks	Tara Mills	e-mail	PartnerPlus Class Actions Against UBS	attorney – client work product	Bill Hendricks 00092
2/4/2013	Brian Bro	Bill Hendricks	e-mail	PartnerPlus Class Actions Against UBS	attorney – client work product	Bill Hendricks 00093
3/21/2013	Bill Hendricks	Tara Mills	e-mail	Eddingston, et al. v. UBS Financial Services; Hendricks, et al. v. UBS Financial Services	attorney – client work product	Bill Hendricks 00094
11/13/12	Brian Bro	Bill Hendricks	e-mail	Eddingston, et al. v. UBS Financial Services; Hendricks, et al. v. UBS Financial Services	attorney – client work product	Bill Hendricks 00095
11/12/12	Bill Hendricks	Brian Bro	e-mail	Eddingston, et al. v. UBS Financial Services; Hendricks, et al. v. UBS Financial Services	attorney – client work product	Bill Hendricks 00095
11/6/2012	Brian Bro	Bill Hendricks	e-mail	Eddingston, et al. v. UBS Financial Services; Hendricks, et al. v. UBS Financial Services	attorney – client work product	Bill Hendricks 00095

<b>Date</b>	<b>Author</b>	<b>Recipient</b>	<b>Type</b>	<b>Subject</b>	<b>Privilege</b>	<b>Bates No.</b>
11/6/2012	Tara Mills	Theodore Anderson Brian Bro Brendan Maher Robert E. Goodman, Jr.	e-mail	Eddingston, et al. v. UBS Financial Services; Hendricks, et al. v. UBS Financial Services	attorney communication	Bill Hendricks 00095-0096
3/21/2013	Bill Hendricks	Tara Mills	e-mail	UBS PartnerPlus	attorney – client work product	Bill Hendricks 00097
1/24/2013	Brian Bro	Bill Hendricks	e-mail	UBS PartnerPlus	attorney – client work product	Bill Hendricks 00098
1/23/2013	Bill Hendricks	Brian Bro	e-mail	UBS PartnerPlus	attorney – client work product	Bill Hendricks 00098
1/23/2013	Brian Bro	Bill Hendricks	e-mail	UBS PartnerPlus	attorney – client work product	Bill Hendricks 00098 - 00099
3/21/2013	Bill Hendricks	Tara Mills	e-mail	Hendricks, et al. vs, UBS PartnerPlus	attorney – client work product	Bill Hendricks 00100
12/18/2012	Brian Bro	Bill Hendricks	e-mail	Hendricks, et al. vs, UBS PartnerPlus	attorney – client work product	Bill Hendricks 00102
3/21/2013	Bill Hendricks	Tara Mills	e-mail	Eddingston, et al. v. UBS Financial Services; Hendricks, et al. v. UBS Financial Services	attorney – client work product	Bill Hendricks 00103

<b>Date</b>	<b>Author</b>	<b>Recipient</b>	<b>Type</b>	<b>Subject</b>	<b>Privilege</b>	<b>Bates No.</b>
11/13/12	Brian Bro	Bill Hendricks	e-mail	Eddingston, et al. v. UBS Financial Services; Hendricks, et al. v. UBS Financial Services	attorney – client work product	Bill Hendricks 00104
11/12/12	Bill Hendricks	Brian Bro	e-mail	Eddingston, et al. v. UBS Financial Services; Hendricks, et al. v. UBS Financial Services	attorney – client work product	Bill Hendricks 00104
11/6/2012	Brian Bro	Bill Hendricks	e-mail	Eddingston, et al. v. UBS Financial Services; Hendricks, et al. v. UBS Financial Services	attorney – client work product	Bill Hendricks 00104
11/6/2012	Tara Mills	Theodore Anderson Brian Bro Brendan Maher Robert E. Goodman, Jr.	e-mail	Eddingston, et al. v. UBS Financial Services; Hendricks, et al. v. UBS Financial Services	attorney communication	Bill Hendricks 00104 - 00105

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COUNSEL FOR PLAINTIFFS

CERTIFICATE OF SERVICE

This is to certify that a true and correct copy of the foregoing was served upon Defendant's counsel of record by the method indicated below this 10th day of May, 2013 as follows:

By E-mail and U.S. First Class Mail

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A handwritten signature in black ink, appearing to be 'R. Goodman, Jr.', written over a horizontal line.

Robert E. Goodman, Jr.